

## **7<sup>th</sup> CALL: BILATERAL TECHNOLOGICAL COOPERATION PROJECTS WITH THIRD COUNTRIES (UNILATERAL projects)**

### **Purpose of the call**

This call for proposals will cover R&D international cooperation through multilateral or bilateral technical projects with unilateral monitoring and certification (unilateral projects). It will be open from the date of its publication, and throughout 2020; there are two cut-off dates for the proposals submission and evaluation.

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*First cut-off date: **Thursday, 16 April 2020**, until 24.00 CET.*

*Second cut-off date: **Thursday, 17 September 2020**, until 24.00 CET.*

The projects will be carried out by appropriately constituted international consortia with the participation of one or more Spanish companies, and one or more foreign partners from the following countries:

Algeria, Argentina, Australia, Bangladesh, Brazil, Cambodia, Cape Verde, Chile, China, Colombia, Costa Rica, Cuba, Dominican Republic, Ecuador, Egypt, Guatemala, India, Indonesia, Iran, Ivory Coast, Japan, Jordan, Kuwait, Laos, Lebanon, Malaysia, Mauritania, Mexico, Morocco, Myanmar, Peru, Philippines, Qatar, Russia, Saudi Arabia, Senegal, Singapore(\*), Sri Lanka, Taiwan(\*), Thailand, Tunisia, United Arab Emirates, United States of America (\*), and Vietnam.

CDTI may recommend to the foreign partner to submit the project to the local financial agency, particularly in those countries marked with (\*) since exists a prior cooperation.

This call will remain open all year round in order to process those proposals arising from the multilateral and bilateral programs that following the pre-assessment by CDTI its certification has not been possible.

In relation to the projects, it should be demonstrated:

- That the participating Spanish company or companies are carrying out the R&D activities outlined in the project covering the minimum requirements to be eligible for CDTI's financial assistance.
- That exists an effective technological cooperation with at least one foreign partner (all partners must ensure a balanced add-value to the project), and/or
- That the cooperation offers a crucial advantage in a foreign market for the Spanish company - only for those projects which the proposed collaboration is settle with a Technology Center or Public Research Organization.
- In any case, it will be compulsory the formalization of a consortium agreement between all the participant entities (Consortium Cooperation Agreement) to ensure both the project's efficient implementation and the conditions agreed for the exploitation rights. Proposals shall be required to be submitted signed by all the participant's legal representatives.

The project's classification within this category should be endorsed by the International Dimension's Report considering all terms and conditions of the said Consortium Cooperation Agreement and the results of a verification visit to the foreign partner's premises carried out by CDTI.

### **Beneficiaries**

Spanish companies on an individual basis, or either Economic Interest Groupings (EIGs) or consortia constituted by - at least - two independent Spanish companies with a maximum of six, whose aim is the development of a R&D project in collaboration with foreign entities in the above mentioned countries.

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### **Duration of the projects**

The duration of these projects may be from 12 to 36 months.

### **Budget of the projects**

The minimum eligible budget per company will be 175,000€.

### **Type and amount of CDTI funding**

The R&D projects could be financed through a Partially Reimbursable Grant according to the stated CDTI's conditions for the R&D International Cooperation Projects.

The Partially Reimbursable Grant presents a financial coverage up to 85% of the approved total budget consisting in two different parts:

A reimbursable part to pay off over a period of 7 to 10 years starting from the center of gravity of the project - calculated in function of the duration and the budgetary of the project activities. The first reimbursement payment has to be done 3 years after the project's centre of gravity, with a minimum of 2 years after the project's completion date. This reimbursable part will have a fixed interest rate equal to one year Euribor<sup>1</sup> to be established at the moment of the project approval.

And a non-reimbursable part of up to 33%<sup>2</sup> according to nature of the project. To take advantage of this part the proposal must be in possession of the corresponding seal that certifies the existence of cooperation between entities and its international status (Unilateral certification).

In the event that after the project starts and the international cooperation couldn't be certified, the right of the non-reimbursable part shall be lost unless the lack of cooperation is produced by causes non-attributable to the Spanish party. Should this be the case, the Spanish company shall demonstrate its attempts to maintain the international cooperation through documentary

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<sup>1</sup> In the event that Euribor reaches negative values, CDTI will consider its value as 0.

<sup>2</sup> Subject to availability of funds.

evidence; insofar as CDTI corroborates that the activities conducted by the Spanish company are sufficiently solid to be funded as a R&D project.

The projects between a parent company and its foreign affiliates, or invested companies will not be eligible for this category. In that case, the national financing will be considered as an ordinary R&D project, without the non-reimbursable part as it has not an international status.

### **International monitoring**

To ensure the non-reimbursable part as an international project, it will be required to verify the preservation of its status as international until its full completion.

For that purpose, members of CDTI will be involved in the closing meetings of the projects to be held in the premises of the foreign partners, where an International Final Report would be issue.

### **Application process**

The participating company willing to obtain the international seal, should propose its idea through CDTI's online platform (<https://solicitudes.cdti.es/Internet/accesoSistema.aspx>) submitting the R&D Project application as International Technology Cooperation/Unilateral Project.

Even if there is more than one Spanish company within the project, only one registration should be submitted by the company that will be in charge of representing the consortium before CDTI.

The applicant shall provide the following relevant documentation:

- **Diagram of activities (Gantt)**, where all the tasks to be performed by the international consortium are described for each participant.
- **Application Form:** in this report - submitted in English (except for those projects in which only Spanish and Iberoamerican companies are involved) - the project must be regarded in its entirety, considering the activities of all participants and its relevance for each of them. All parties of the international consortium shall acknowledge, agree and sign such form.
- **Consortium agreement:** this report - submitted in English (except for those projects in which only Spanish and Iberoamerican companies are involved) shall ensure the execution of the project describing the agreed conditions for the exploitation results. The document must be signed by all legal representatives of each participant, prior to CDTI's approval. Moreover, it would include:
  - A section with the stated commitment of each party to conduct the following meetings where CDTI would be involved and to be held at the foreign partner's premises:
    - i. Project's presentation meeting: to verify that there is an effective cooperation between the parties which guarantees the achievement of the objectives.
    - ii. Closing meeting: in order to present the final results of the project.

- Another section which reflect the financial commitments that arise from the project, specifying the sources of funding for each country.
- **Telematics registration of the proposal in the CDTI's online platform:** the submission of this application includes, beside the preparation of documents, a questionnaire to fill out with all the technical, economic and administrative details of each Spanish entity, associated with the financing obtained.
- **Other documents that might be required (where applicable):** external financing claim justification, aid declarations, type and category of the company and any other relevant documentation

### **Model of Collaboration Agreement of the Consortium**

The collaboration agreement between the Spanish company or companies and their foreign partner shall describe:

- a) Identification and definition of contractual parties
- b) Preamble (context, objectives and scope of the cooperation)
- c) Definitions
- d) Project description (general terms, outline the activities to be developed, structure and attribution of responsibilities...)
- e) Project Management (of the consortium, and the procedures)
- f) Financial aspects (budgeting, reporting documents, sources of funding)
- g) Management of intellectual property rights.
- h) Market share.
- i) Confidentiality requirements.
- j) Publications.
- k) Legal aspects.
- l) The stated commitment of each party to conduct the following meetings where CDTI would be involved and to be held at the foreign partner's premises:
  - i. Project's presentation meeting: to verify that there is an effective cooperation between the parties which guarantees the attainment of the objectives.
  - ii. Closing meeting: in order to present the final results of the project.
- m) Anexes